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13c772 U.S. PRO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Hon. Commissioner of Patents  
Washington, D.C. 20231

WITH SIGNED DECLARATION

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE

13c921 U.S. PRO  
09/708575  
11/09/00

Sir:

Herewith is the PATENT APPLICATION of  
Inventor(s): NAKAO, Akihiko

Title LOCATION INFORMATION RECOGNITION APPARATUS  
AND METHOD, AND RECORDING MEDIUM

Atty. Dkt.: PM 275334 T4IA-00S0915  
M# Client Ref

including:

Date: November 9, 2000

1. Specification: 40 pages (only spec. and claims) 2. ☐ Specification in non-English language  
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 11 numbered claims  
4. ☒ Drawings: 7 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"  
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# SN )  
6. AMEND the specification please by inserting before the first line: -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:  
6(a) ☐ National Appln. No. / filed . (M# )  
6(b) ☐ International Appln. No. filed  
7. ☐ AMEND the specification by inserting before the first line: -- This application claims the benefit of U.S.  
Provisional Application No. 60/ , filed . --  
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.  
9. ☐ Prior application is assigned to

by Assignment recorded Reel Frame

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN

11. (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 11-318819	November 9, 1999	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date)   
in U.S. Application No. / filed on  
13. Small entity status ☐ is not claimed; ☐ is claimed (Pre-filing confirmation required)  
13(a). ☐ Attached: (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$710/\$355	\$710	101/201
19. Total Effective Claims	11	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	4	minus 3 =	*1	x \$80/\$40 =	+ 80	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$270/\$135	+ 0	104/204
22. TOTAL FILING FEE ENCLOSED =					\$790	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$830	

Our Deposit Account No. 03-3975

Our Order No. 8312 275334

C# M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

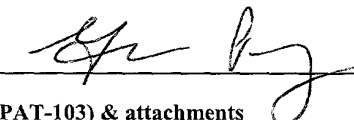
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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

# APPLICATION UNDER UNITED STATES PATENT LAWS

Atty. Dkt. No. PM 275334/T4IA-00S0915  
(M#)

Invention: LOCATION INFORMATION RECOGNITION APPARATUS AND METHOD, AND  
RECORDING MEDIUM

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This is a:

- ☐ Provisional Application
- ☒ Regular Utility Application
- ☐ Continuing Application
  - ☒ The contents of the parent are incorporated by reference
- ☐ PCT National Phase Application
- ☐ Design Application
- ☐ Reissue Application
- ☐ Plant Application
- ☐ Substitute Specification  
Sub. Spec. Filed \_\_\_\_\_  
in App. No. \_\_\_\_\_ / \_\_\_\_\_
- ☐ Marked up Specification re  
Sub. Spec. filed \_\_\_\_\_  
In App. No. \_\_\_\_\_ / \_\_\_\_\_

## SPECIFICATION

TITLE OF THE INVENTION

LOCATION INFORMATION RECOGNITION APPARATUS AND METHOD,  
AND RECORDING MEDIUM

CROSS-REFERENCE TO RELATED APPLICATIONS

5           This application is based upon and claims the  
benefit of priority from the prior Japanese Patent  
Application No. 11-318819, filed November 9, 1999, the  
entire contents of which are incorporated herein by  
reference.

10                           BACKGROUND OF THE INVENTION

          The present invention relates to a location  
information recognition method and apparatus for  
recognizing an address as location information, and a  
recording medium.

15           Generally, to optically read address information  
(location information) written on a postcard or  
business card using an optical character reading  
apparatus (OCR apparatus), the image on the letter is  
read first, a region having an address is designated or  
20           estimated, and lines or characters are extracted from  
the region.

          The OCR apparatus incorporates a place name  
dictionary for the target recognition area. The  
address is recognized by reading the characters written  
25           in the address region while collating them with the  
dictionary.

          As an address recognition scheme, generally in

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even when an address recognition apparatus which has been developed for the English-speaking zone is modified to recognize an address in the French-speaking zone by modifying only the place name dictionary for the French-speaking zone, no satisfactory performance can be obtained. To do this, the address recognition procedure for the French-speaking zone must be introduced. However, adjusting the circuit of the apparatus for each country results in an increase in cost.

Recognition errors for similar place names will be described next.

For example, assume that an area has city names "YORK", "NORTH YORK", and "EAST YORK". In recognizing an address in that area, even when part of the address line is recognized as "YORK", the actual city name written there may be "NORTH YORK".

Conversely, even when "EAST YORK" is recognized, this "EAST" may be a recognition error for another word.

Word narrow-down dictionary size will increase due to the following reason.

For example, to recognize all domestic addresses in a certain country, all place names in that country must be registered in the word dictionary for address recognition. However, for high-speed address recognition, pieces of information must be further added to the word dictionary.

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For example, assume that a big city "ABC" has 1,000 or more streets. In this case, to recognize a street name in the city "ABC", comparison with dictionary pattern sequences must be executed 1,000 or more times, even when the location of the search pattern sequence of the street name is known.

As a method of reducing the comparison count, the number of dictionary pattern sequences, which are the comparison targets, are narrowed down on the basis of a characteristic feature of the search pattern sequence, and the narrowed-down dictionary pattern sequences are compared with the search pattern sequence.

A method called bigram (N-gram;  $N = 2$ ) is often used when the search pattern consists of a small number of character types, e.g., alphabets. In this method, for each of 2-character strings such as "AB", "BC", ..., "ZZ", a list of dictionary pattern sequences including the 2-character string is prepared in advance.

This bigram method is effective when

- the number of character types is small, and
- noise is readily inserted between characters.

For example, dictionary pattern sequence "JOHNSON" is registered in the lists including "JO", "OH", "HN", "NS", "SO", and "ON." Lists of dictionary pattern sequences, which include all 2-character possible strings in their patterns, will be hereinafter referred to as word narrow-down dictionaries.

Before comparison between the search pattern sequence and dictionary pattern sequences registered in the word dictionary is executed, 2-character strings included in the search pattern sequence are checked, and dictionary pattern sequences including them are scored. Dictionary pattern sequences having high total scores are selected and compared with the search pattern sequence, thereby recognizing the word. For example, when a street name in a city having 1,000 or more streets is to be recognized, using dictionary pattern sequences at first to 10th places of the total scores, the number of comparison procedures between the search pattern sequence and dictionary pattern sequences decreases to 1/100 or less.

However, when word narrow-down dictionaries are prepared for all city or street names in the target recognition area, the total size or capacity of word narrow-down dictionaries often becomes much larger than the total size of word dictionaries.

#### BRIEF SUMMARY OF THE INVENTION

It is an object of the present invention to provide a location information recognition apparatus and method capable of recognizing location information in each country with only slight modification, and a recording medium.

In order to achieve the above object, according to the present invention, there is



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provided a location information recognition apparatus  
for recognizing location information written on a  
letter and constituted by categories which form a  
hierarchical structure with a plurality of stages  
5 changing in units of various countries, comprising  
means for selecting a dictionary and a procedure from a  
plurality of dictionaries corresponding to the various  
countries, respectively, and used to recognize the  
location information, and various recognition  
10 procedures which vary with the country and each of  
which corresponds to each category of the hierarchical  
structure with the plurality of stages of the location  
information, means for reading the location information  
written on the letter, and means for recognizing the  
15 read location information using the selected dictionary  
in accordance with the recognition procedure selected  
by the selection means.

According to the present invention, there is also  
provided a recognition method of recognizing location  
20 information constituted by categories which form a  
hierarchical structure with a plurality of stages  
varying with the country, comprising the steps of  
having a plurality of dictionaries corresponding to the  
various countries, respectively, and used to recognize  
25 the location information, having various recognition  
procedures which vary with the country and each of  
which corresponds to each category of the hierarchical

structure with the plurality of stages of the location  
information, and in recognizing the location  
information, selecting one of the dictionaries,  
selecting one of the recognition procedures, and  
5 performing recognition processing on the basis of the  
selected dictionary and recognition procedure.

According to the present invention, there is also  
provided a recording medium used to recognize location  
information constituted by categories which form a  
10 hierarchical structure with a plurality of stages  
varying with the country, the recording medium  
recording a plurality of dictionaries corresponding to  
the various countries, respectively, and used to  
recognize the location information, and various  
15 recognition procedures which vary with the country and  
each of which corresponds to each category of the  
hierarchical structure with the plurality of stages of  
the location information.

According to the present invention, there is also  
20 provided a location information recognition apparatus  
comprising read means for reading a location  
information image, line detection means for detecting  
one or some character lines from the location  
information image read by the read means, region  
25 detection means for detecting one or some regions where  
location information is written from the location  
information image read by the read means, location

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information word detection means for dividing the  
character line detected by the line detection means and  
included in the location information region detected by  
the region detection means into one or a plurality of  
5 word regions, word recognition means for recognizing a  
word by collating character information included in the  
word region obtained by the location information word  
detection means with a content of a word dictionary in  
which place names present in an area as a recognition  
10 target are registered, and output means for outputting  
a recognition result by the word recognition means as a  
recognition result of the location information.

Additional objects and advantages of the invention  
will be set forth in the description which follows, and  
15 in part will be obvious from the description, or may be  
learned by practice of the invention. The objects and  
advantages of the invention may be realized and  
obtained by means of the instrumentalities and  
combinations particularly pointed out hereinafter.

20 BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

The accompanying drawings, which are incorporated  
in and constitute a part of the specification,  
illustrate presently preferred embodiments of the  
invention, and together with the general description  
25 given above and the detailed description of the  
preferred embodiments given below, serve to explain the  
principles of the invention.

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FIG. 1 is a block diagram showing the schematic arrangement of an address recognition apparatus according to an embodiment of the present invention;

FIG. 2 is a view showing a schematic arrangement of an address form setting section;

FIG. 3 is a view showing another schematic arrangement of the address form setting section;

FIG. 4 is a view showing a word dictionary of state names;

FIG. 5 is a view showing a word dictionary of city names;

FIG. 6 is a view showing a word dictionary of street names;

FIG. 7 is a flow chart for explaining address word recognition processing;

FIG. 8 is a view for explaining a word generated by connecting a plurality of words in address word recognition processing;

FIG. 9 is a view for explaining an example wherein a plurality of words which should be separately extracted are extracted as one word in address word recognition processing;

FIG. 10 is a flow chart for explaining address word recognition processing in which a word can be recognized even when words are erroneously concatenated;

FIG. 11 is a view for explaining division of a

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word;

FIG. 12 is a view showing an example of the numbers of streets in cities;

FIG. 13 is a flow chart for explaining processing of switching between execution and unexecution of word narrow-down processing depending on the number of words registered in a word dictionary; and

FIG. 14 is a flow chart for explaining processing of switching between execution and unexecution of word narrow-down processing depending on the presence/absence of a word narrow-down dictionary.

#### DETAILED DESCRIPTION OF THE INVENTION

An embodiment of the present invention will be described below with reference to the accompanying drawing.

An example of a versatile address recognition apparatus (location information recognition apparatus) capable of executing address recognition (location information recognition) for each country with only slight modification will be described first.

FIG. 1 is a block diagram showing the schematic arrangement of the address recognition apparatus according to the present invention.

This address recognition apparatus comprises an image reception section (read means) 1 for receiving (reading), by photoelectric conversion, an image on the upper surface of a letter S such as a mail item on

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20       The region detection section 2 may detect only one  
region or a plurality of regions for processing in  
descending order of possibility.

The address recognition control section 7 sequentially sends a word to be recognized to the word

recognition processing section 5 in accordance with the rules given by the address form setting section 6, and determines the next word to be recognized or re-reads the word while checking the recognition result returned from the word recognition processing section 5.

As the address writing method, in Japan and the like, the zip code, prefecture name, city/ward name, town name, and block name are sequentially written in this order from the uppermost line and also from the left to the right. That is, an address is written sequentially from the upper category of a hierarchical structure representing an address area.

To the contrary, in Canada and the like (Europe and America), as the address writing method, the zip code, state name, city name, street name, and street number are sequentially written in this order from the lowermost line and also from the right.

For example, as shown in FIG. 1, "123 ABC STREET TORONTO ONTARIO Z9Z 9Z9" is written.

As the recognition processing procedure set by the address form setting section 6, information related to the address form of the country or area (as a recognition target), a technique of detecting an address region, or a technique of address recognition processing is set as a set of rules. This setting can be done using hardware such as a changeover switch. Alternatively, a setting file may be prepared and read

by the apparatus. The information read by the address form setting section 6 is sent to the address recognition control section 7.

5 As described above, when the information to be given by the address form setting section 6 is changed, addresses in different countries can be processed by a single address recognition apparatus.

10 An example of address recognition rule set for Japan as a recognition processing procedure set by the address form setting section 6 will be described.

- Words are read from the start of a line.
- Words are traced from the start to the end of a line.
- The zip code is read first.
- 15 • The word of prefecture name is searched subsequently after the word of zip code.
- The word of city/ward name is searched subsequently after the word of prefecture name.
- The word of town name is searched subsequently after the word of city/ward name.
- 20 • The word next to the word of town name is recognized as block information.

25 An example of address recognition rule set for Canada as a recognition processing procedure set by the address form setting section 6 will be described.

- Words are read from the end of a line.
- Words are traced from the end to the start of a

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line.

- The zip code is read first.
- The word of state name is searched subsequently after the word of zip code.

5           • The word of city name is searched subsequently after the word of state name.

- The word of street name is searched subsequently after the word of city name.

10           • The word next to the word of street name is recognized as a street number.

As the arrangement of the address form setting section 6, a scheme as shown in FIG. 2 is available first, in which a file which describes an address read rule set is prepared in advance and read to give the read rules to the address recognition apparatus. In this case, the address form setting section 6 is constituted by an address recognition rule file 6a and address recognition file read section 6b.

However, this scheme has the following problems.

20           • Loading the address recognition rule file in each address recognition apparatus in shipment from the factory is cumbersome.

- The security level of file information is low, and a third party can easily steal the address form setting rules.

25           The address dictionary 4 for each country must be often changed due to reasons such as house-moving, new

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construction, and district reorganization. However,  
once address form setting information is set, it need  
not often be largely corrected. Hence, as shown in  
FIG. 3, the address form setting rules may be printed  
on an IC and read out from the IC. In this case, the  
address form setting section 6 is constituted by an  
address recognition rule IC 6c and address recognition  
rule IC read section 6d.

At this time, the security level rises because  
rule analysis becomes more difficult than for a file.  
In addition, the address form setting information can  
be loaded only by inserting (attaching) the IC to the  
address recognition rule IC read section of the address  
recognition apparatus. Furthermore, the rule for  
address recognition in each country may be set by  
exchanging only the IC on which the address form  
setting rule is printed. In this case, the pair of  
address form setting rule and address dictionary can be  
exchanged for each country.

As the address dictionary 4, an address dictionary  
4a for Japan and address dictionary 4b for Canada are  
prepared.

As the address dictionary 4a for Japan, a word  
dictionary of prefecture names, a word dictionary of  
city/ward names in each prefecture, and a word  
dictionary of town names in each city/ward are prepared.

As the address dictionary 4b for Canada, a word



recognition control section 7, and address recognition  
result output section 8, the address form setting rules  
and address dictionary may be set on the basis of  
contents of the address form setting section 6, which  
are played back by the recording medium playback  
section, and the recognition processing apparatus may  
execute recognition procession in accordance with the  
set contents.

Prevention of recognition errors for similar place  
names will be described next.

Assume that three cities "YORK", "NORTH YORK", and  
"EAST YORK" are present in a certain area. In  
recognizing an address in that area, even when part of  
the address line is recognized as "YORK", the actual  
city name written there may be "NORTH YORK".

FIG. 7 is a flow chart for explaining address word  
recognition processing capable of discriminating  
between "YORK" and "NORTH YORK". Basically, words are  
recognized one by one from the word recognition  
processing start location given by the address  
recognition control section 7 using the address word  
dictionary 4. Only with this processing, however,  
although "YORK" can be read, "NORTH YORK" formed from a  
plurality of words cannot be read. Hence, as shown in  
FIG. 8, a word ("YORK") W1 currently under processing  
and a word ("NORTH") W2 adjacent to the word W1 are  
connected to generate a new word ("NORTH YORK") W3, and

A result of word recognition of only one word and  
5 a result of word recognition of a word generated by  
connecting a plurality of words are compared, and the  
better result is selected. When the evaluation value  
of recognition result is smaller than a threshold value  
set in advance, neither word recognition results are  
10 selected. Instead, a word written next to the word W1  
is set as a new word W1, and the above processing is  
repeated.

The address recognition control section 7 starts address word recognition processing and moves to the address word search start location (ST1). For example, when the address recognition method for Canada is set, words are sequentially read from the end of the final line.

25           When there are words that have not undergone  
recognition processing yet in step ST2, the address  
recognition control section 7 selects one word and

recognizes the selected word W1 using the given place name dictionary (11, 12, or 13) (ST3). For example, when the selected word W1 corresponds to a state name, the word dictionary 11 is used. When the selected word W1 corresponds to a city name, the word dictionary 12 corresponding to the above state name is used. When the selected word W1 corresponds to a street name, the word dictionary 13 corresponding to the above city name is used.

As a result, the address recognition control section 7 calculates a word recognition result A1 and word evaluation value S1 (ST3).

The address recognition control section 7 determines next whether the word W2 that has not undergone recognition processing yet is present next to the word W1 (ST4).

If the word W2 is determined to be present, the address recognition control section 7 connects the words W1 and W2 to generate a new word W3 (ST5) and recognizes the generated word W3 using a corresponding place name dictionary (11, 12, or 13) (ST6).

As a result, the address recognition control section 7 calculates a word recognition result A3 and word evaluation value S3 (ST6).

The address recognition control section 7 compares the largest word evaluation value S1 for the word W1 with the largest word evaluation value S3 for the word

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W3. When the largest word evaluation value S3 for the word W3 is equal to or larger than the largest word evaluation value S1 for the word W1, and the largest word evaluation value S3 for the word W3 is larger than a predetermined threshold value (ST7), the address recognition control section 7 outputs the word recognition result A3 for the word W3 as a recognition result.

When the largest word evaluation value S1 for the word W1 is larger than the largest word evaluation value S3 for the word W3, and the largest word evaluation value S1 for the word W1 is larger than the predetermined threshold value (ST8), the address recognition control section 7 outputs the word recognition result A1 for the word W1 as a recognition result.

If steps ST7 and ST8 are not satisfied, the address recognition control section 7 returns to step ST2.

20           If it is determined in step ST4 that the word W2  
is not present, the address recognition control section  
7 sets the word evaluation value S3 for the word W3 to  
"0" (ST9) and advances to step ST7.

An example in this case will be described with  
reference to FIG. 8.

The word ("YORK") W1 of city name and the word ("NORTH") W2 adjacent to the word W1 are connected to

generate the new word ("NORTH YORK") W3 and the  
recognition results of the words W1 and W3 are compared.  
At this time, it is determined that the word evaluation  
value S3 of the recognition result of the word W3 is  
5 larger than the word evaluation value S1 for the word  
W1 and also larger than the threshold value, so "NORTH  
YORK" is recognized as a city name.

Prevention of a recognition error which is caused  
by extracting, as one word, a plurality of words which  
10 should be separately extracted will be described next.

When a plurality of words which should be  
separately extracted are extracted as one word, word  
recognition may fail. FIG. 9 is a view showing an  
example wherein two words "TORONTO" and "ON" which  
15 should be separately extracted are extracted as one  
word. In this case, since the city "TORONTOON" is not  
present in the Ontario State, city name recognition  
fails.

FIG. 10 is a flow chart showing address word  
20 recognition processing capable of word recognition even  
when such word concatenation occurs. Words are  
recognized one by one from the word recognition  
processing start location given by the address  
recognition control section 7, using the address word  
25 dictionary. For the word ("TORONTOON" as a city name  
following the Ontario State) W1, it is checked whether  
the word W1 satisfies a predetermined condition. If

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the word W1 satisfies the condition, the word W1 is divided into a plurality of words ("TORONTO") W2 and ("ON") W3. As the condition for word division, for example, the spacing of characters constituting a word is used. In the example shown in FIG. 11, since the character spacing is larger immediately after "TORONTO" than at remaining portions, the word is divided into two parts at that location. For example, the distance between characters is determined on the basis of word blocks obtained by vertical projection or the like. FIGS. 9 to 11 show only connection of two words for the descriptive convenience. However, one word may be divided into three or more words. Each word generated by division processing is recognized, and the best result is selected.

A result of word recognition of only one word and a result of word recognition of a word generated by dividing the word into a plurality of words are compared, and the better result is selected. When the evaluation value of recognition result is smaller than the predetermined threshold value, neither word recognition results are selected. Instead, a word written next to the word W1 is set as a new word W1, and the above processing is repeated.

Address word recognition processing by the address recognition control section 7 will be described with reference to the flow chart shown in FIG. 10.

The address recognition control section 7 starts address word recognition processing and moves to the address word search start location (ST11). For example, when the address recognition method for Canada is set, words are sequentially read from the end of the final line.

If there are no words that have not undergone recognition processing yet (ST12), the flow advances to word recognition error processing.

When there are words that have not undergone recognition processing yet in step ST12, the address recognition control section 7 selects one word and recognizes the selected word W1 using the given place name dictionary (11, 12, or 13) (ST13). For example, when the selected word W1 corresponds to a state name, the word dictionary 11 is used. When the selected word W1 corresponds to a city name, the word dictionary 12 corresponding to the above state name is used. When the selected word W1 corresponds to a street name, the word dictionary 13 corresponding to the above city name is used.

As a result, the address recognition control section 7 calculates the word recognition result A1 and word evaluation value S1 (ST13).

The address recognition control section 7 determines next whether the word W1 can be divided (ST14).

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If it is determined that the word W1 can be divided into two parts, the address recognition control section 7 generates the word W2 and word W3 from the word W1 (ST15) and recognizes each of the generated words W2 and W3 using a corresponding place name dictionary (11, 12, or 13) (ST16).

As a result, the address recognition control section 7 calculates the word recognition result A3 and word evaluation value S3 (ST16).

The address recognition control section 7 compares the largest word evaluation value S1 for the word W1 with the largest word evaluation value S3 for the word W2 and W3. When the largest word evaluation value S3 for the word W2 and W3 is equal to or larger than the largest word evaluation value S1 for the word W1, and the largest word evaluation value S3 for the word W2 and W3 is larger than a predetermined threshold value (ST17), the address recognition control section 7 outputs the word recognition result A3 for the word W2 and W3 as a recognition result.

When the largest word evaluation value S1 for the word W1 is larger than the largest word evaluation value S3 for the word W2 and W3, and the largest word evaluation value S1 for the word W1 is larger than the predetermined threshold value (ST18), the address recognition control section 7 outputs the word recognition result A1 for the word W1 as a recognition

result.

When steps ST17 and ST18 are not satisfied, the address recognition control section 7 returns to step ST12.

- 5           If it is determined in step ST14 that the word W1 cannot be divided, the address recognition control section 7 sets the word evaluation value S3 for the word W3 to "0" (ST19) and advances to step ST17.

- 10           An example in this case will be described with reference to FIG. 9.

- 15           For the word ("TORONTOON") W1, and the words W2 ("TORONTO") and ("ON") W3 generated by dividing the word W1, the recognition results of the word W1 and words W2 and W3 are compared. At this time, it is determined that the word evaluation value S3 of the recognition result of the word W2 is larger than the word evaluation value S1 for the word W1 and also larger than the threshold value, so "TORONTO " is recognized as a city name following the Ontario State.

- 20           Down-sizing of the word narrow-down dictionary will be described next.

- 25           When an enormous number of place names are present in an area as a recognition target, the number of times of comparison between the character recognition result sequence of a word to be recognized and place name words registered in the word dictionary of place names increases, resulting in long word recognition time per

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word. As has already been described, this problem can be solved by decreasing the number of place name words using word narrow-down dictionaries. The word narrow-down dictionaries are provided in the address dictionary 4 or address recognition control section 7.

As the disadvantage of this scheme, when word narrow-down dictionaries are prepared for all city or street names in the target recognition area, the total size of the word narrow-down dictionaries becomes considerably large. A method of solving this problem will be described below.

For example, when dictionaries of street names in cities are generated for each city, the number of words registered in the street name dictionary greatly varies with the city. FIG. 12 shows an example of the numbers of streets in cities. The number of streets is assigned to, e.g., each dictionary of city name.

Narrowing down word candidates using word narrow-down dictionaries is effective when the number of words registered in the dictionaries is large. However, when the number of words is small, it is not only meaningless and but also time-consuming for word narrow-down processing. The word narrow-down dictionaries themselves are also unnecessary. For example, assume that high-score words at first to 20th places should be selected by word narrow-down processing. In cities A and D shown in FIG. 12, the

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number of streets is less than 20. Hence, the number of times of comparison between the search pattern sequence and dictionary pattern sequences is smaller than 20 without executing narrow-down processing.

5           FIG. 13 is a flow chart for explaining processing of switching between execution and unexecution of word narrow-down processing depending on the number of words registered in a word dictionary.

10           The address recognition control section 7 starts address word recognition processing and selects the word dictionary 4 in accordance with the types of area and word to be recognized (ST21). The address recognition control section 7 determines next whether the number of words registered in the selected word dictionary 4 is larger than a threshold value T1 (20) (ST22).

15           When the number of registered words is determined to be larger than the threshold value T1, the address recognition control section 7 selects words having large evaluation values at first to T2th places by word narrow-down processing (ST23).

20           The address recognition control section 7 compares each dictionary word selected by word narrow-down processing with the word to be recognized (ST24). As a result, the address recognition control section 7 calculates a word recognition result A and word evaluation value S (ST24).

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When the word evaluation value S is larger than a predetermined threshold value S1 (ST25), the address recognition control section 7 outputs the word recognition result A as a recognition result. When the  
5 word evaluation value S is equal to or smaller than the predetermined threshold value S1 (ST25), the flow advances to word recognition error processing.

If it is determined in step ST22 that the number of registered words is smaller than the threshold value  
10 T1, the address recognition control section 7 selects all words registered in the word dictionary 4 (ST26).

Next, the address recognition control section 7 compares all the selected dictionary words with the word to be recognized (ST27). As a result, the address  
15 recognition control section 7 calculates the word recognition result A and word evaluation value S (ST27). After this, the address recognition control section 7 advances to step ST25.

To reduce the total size of word narrow-down  
20 dictionaries as much as possible, narrow-down dictionaries for word dictionaries with a small number of registered words are not prepared in advance.

When a narrow-down dictionary is present, narrow-down processing is performed, and then word  
25 recognition processing is performed. When no narrow-down dictionary is present, word recognition processing is performed without narrow-down processing.

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FIG. 14 is a flow chart showing processing of switching between execution and unexecution of word narrow-down processing depending on the presence/absence of a word narrow-down dictionary. The same step numbers as in the flow chart shown in FIG. 13 denote the same steps in FIG. 14.

The address recognition control section 7 starts address word recognition processing and selects the word dictionary 4 in accordance with the types of area and word to be recognized (ST21). The address recognition control section 7 determines next whether a narrow-down dictionary for the selected word dictionary 4 is present (ST22').

When the narrow-down dictionary is determined to be present, the address recognition control section 7 selects words having large evaluation values at first to T1th places by word narrow-down processing (ST23').

The address recognition control section 7 compares each dictionary word selected by word narrow-down processing with the word to be recognized (ST24). As a result, the address recognition control section 7 calculates the word recognition result A and word evaluation value S (ST24).

When the word evaluation value S is larger than a predetermined threshold value S1 (ST25), the address recognition control section 7 outputs the word recognition result A as a recognition result. When the



word evaluation value S is equal to or smaller than the predetermined threshold value S1 (ST25), the flow advances to word recognition error processing.

5 If it is determined in step ST22' that no narrow-down dictionary is present for the selected word dictionary 4, the address recognition control section 7 selects all words registered in the word dictionary 4 (ST26).

10 Next, the address recognition control section 7 compares all the selected dictionary words with the word to be recognized (ST27). As a result, the address recognition control section 7 calculates the word recognition result A and word evaluation value S (ST27). After this, the address recognition control section 7  
15 advances to step ST25.

As has been described above, even when the address form changes depending on the country, an address recognition apparatus can be constructed using a uniform hardware without customizing apparatuses for  
20 the respective countries.

With this arrangement, addresses in various countries in the world can be recognized by only a small change in settings.

25 Additional advantages and modifications will readily occur to those skilled in the art. Therefore, the invention in its broader aspects is not limited to the specific details and representative embodiments

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shown and described herein. Accordingly, various modifications may be made without departing from the spirit or scope of the general inventive concept as defined by the appended claims and their equivalents.

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WHAT IS CLAIMED IS:

1. A location information recognition apparatus  
for recognizing location information written on a  
letter and constituted by categories which form a  
5 hierarchical structure with a plurality of stages  
changing in units of various countries, comprising:

means for selecting a dictionary and a procedure  
from a plurality of dictionaries corresponding to the  
various countries, respectively, and used to recognize  
10 the location information, and various recognition  
procedures which vary with the country and each of  
which corresponds to each category of the hierarchical  
structure with the plurality of stages of the location  
information;

15 means for reading the location information written  
on the letter; and

means for recognizing the read location  
information using the selected dictionary in accordance  
with the recognition procedure selected by said  
20 selection means.

2. A location information recognition method of  
recognizing location information constituted by  
categories which form a hierarchical structure with a  
plurality of stages varying with the country,  
25 comprising the steps of:

having a plurality of dictionaries corresponding  
to the various countries, respectively, and used to

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recognize the location information;

having various recognition procedures which vary with the country and each of which corresponds to each category of the hierarchical structure with the

5 plurality of stages of the location information; and

in recognizing the location information, selecting one of the dictionaries, selecting one of the recognition procedures, and performing recognition processing on the basis of the selected dictionary and  
10 recognition procedure.

3. A recording medium used to recognize location information constituted by categories which form a hierarchical structure with a plurality of stages varying with the country, said recording medium  
15 recording:

a plurality of dictionaries corresponding to the various countries, respectively, and used to recognize the location information; and

various recognition procedures which vary with the  
20 country and each of which corresponds to each category of the hierarchical structure with the plurality of stages of the location information.

4. A location information recognition apparatus comprising:

25 read means for reading a location information image;

line detection means for detecting one or some

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character lines from the location information image  
read by said read means;

region detection means for detecting one or some  
regions where location information is written from the  
5 location information image read by said read means;

location information word detection means for  
dividing the character line detected by said line  
detection means and included in the location  
information region detected by said region detection  
10 means into one or a plurality of word regions;

word recognition means for recognizing a word by  
collating character information included in the word  
region obtained by said location information word  
detection means with a content of a word dictionary in  
15 which place names present in an area as a recognition  
target are registered; and

output means for outputting a recognition result  
by said word recognition means as a recognition result  
of the location information.

20 5. An apparatus according to claim 4, wherein  
said word recognition means comprises

first word recognition means for recognizing the  
word by collating character information included in a  
first word region obtained by said location information  
word detection means with the content of the word  
25 dictionary in which the place names present in the area  
as the recognition target are registered and outputting

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a word evaluation value of the recognition result, and

second word recognition means for recognizing the word by collating character information included in a third word region which connects the first word region processed by said first word recognition means and a second word region adjacent to the first word region in a same line with the content of the word dictionary and outputting a word evaluation value of the recognition result, and

said output means compares the word evaluation value of the recognition result by said first word recognition means with the word evaluation value of the recognition result by said second word recognition means and outputs the recognition result having a larger word evaluation value.

6. An apparatus according to claim 5, wherein said second word recognition means comprises determination means for determining whether the character information included in the first word region processed by said first word recognition means satisfies a condition for dividing the first word region into a plurality of words, and

third word recognition means for, when said determination means determines that the condition for dividing the first word region into a plurality of words is satisfied, recognizing the word by collating each of the divided words with the content of the word

dictionary and outputting a word evaluation value of a recognition result.

7. An apparatus according to claim 6, wherein the condition for dividing the character information into a plurality of words, which is determined by said determination means, is satisfied when a distance between two characters nearly predetermined characters constituting the word is larger than a distance between other characters in the same word.

8. An apparatus according to claim 4, wherein the location information image read by said read means is constituted by categories which form a hierarchical structure with a plurality of stages, said word recognition means comprises setting means for setting an order of recognition of words in each word region obtained by said location information word detection means, which corresponds to each category of the hierarchical structure with the plurality of stages constituting the location information, and

second word recognition means for recognizing the word by collating the character information included in the word region obtained by said location information word detection means with a content of one of a plurality of word dictionaries in which different place names present in the area as the recognition target are registered in units of categories in accordance with

the order of recognition for each word region, which is set by said setting means, and

said output means outputs a recognition result corresponding to each category by said second word recognition means as the recognition result of the address information.

9. An apparatus according to claim 4, wherein the location information image read by said read means is constituted by categories which form a hierarchical structure with a plurality of stages,

said word recognition means comprises

an IC which stores in advance an order of recognition of words in each word region obtained by said location information word detection means, which corresponds to each category of the hierarchical structure with the plurality of stages constituting the location information, and

second word recognition means for recognizing the word by collating the character information included in the word region obtained by said location information word detection means with a content of one of a plurality of word dictionaries in which different place names present in the area as the recognition target are registered in units of categories in accordance with the order of recognition for each word region, which is stored in said IC, and

said output means outputs a recognition result

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corresponding to each category by said second word recognition means as the recognition result of the address information.

10. An apparatus according to claim 4, wherein

5       the location information image read by said read means is constituted by categories which form a hierarchical structure with a plurality of stages,

      said word recognition means comprises

      word extraction means, corresponding to one of a

10       plurality of word dictionaries in which different place names present in the area as the recognition target are registered in units of categories, for extracting one or a plurality of words in the word dictionary, the words matching at least some of a plurality of

15       combinations of character strings constituted by the character information included in the word region obtained by said location information word detection means, and

      second word recognition means for recognizing

20       the word by collating the character information included in the word region obtained by said location information word detection means with the one or a plurality of words extracted by said word extraction means, and

25       said output means outputs a recognition result corresponding to each category by said second word recognition means as the recognition result of the

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address information.

11. An apparatus according to claim 4, wherein  
the location information image read by said read  
means is constituted by categories which form a  
5 hierarchical structure with a plurality of stages,

said word recognition means comprises  
word extraction means for, when the number of  
registered words in one of a plurality of word  
dictionaries in which different place names present in  
10 the area as the recognition target are registered in  
units of categories is not less than a predetermined  
number, extracting one or a plurality of words in the  
word dictionary, the words matching at least some of a  
plurality of combinations of character strings  
15 constituting the character information,

first recognition means for recognizing the word  
by collating the character information with the one or  
a plurality of words extracted by said word extraction  
means, and

20 second recognition means for recognizing the word  
by collating the character information with the content  
of the word dictionary when the number of registered  
words in the word dictionary corresponding to a prede-  
termined category is smaller than the predetermined  
25 number, and

said output means outputs a recognition result by  
said first recognition means or a recognition result by

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said second recognition means as the recognition result  
of the address information.

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ABSTRACT OF THE DISCLOSURE

This invention is to construct an address  
recognition apparatus using uniform hardware without  
customizing apparatuses dedicated to different  
5 countries even when the address form changes depending  
on the country. Hence, location information in various  
countries can be recognized by only a small  
modification.

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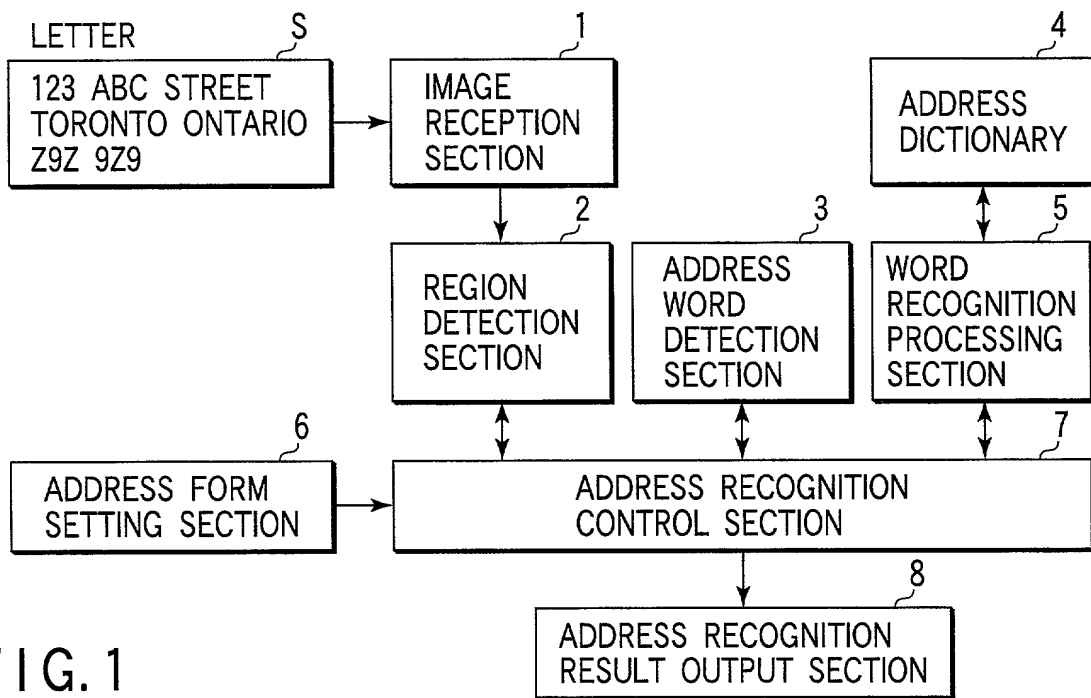


FIG. 1

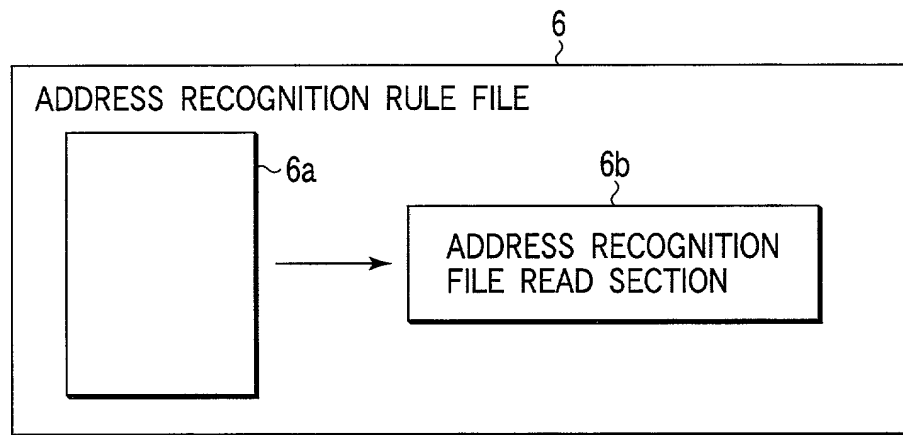


FIG. 2

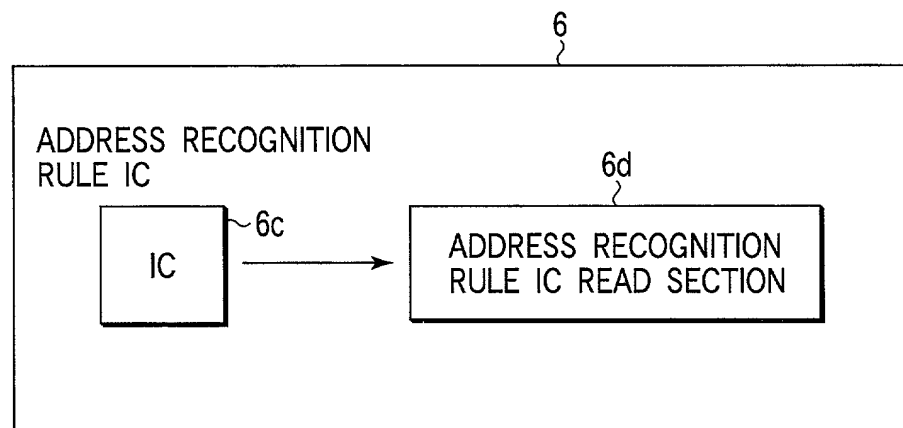


FIG. 3

# STATE NAME DICTIONARY (CANADA)

1	QUEBEC	~ 11
2	ONTARIO	
3	SASKATCHEWAN	
4	ALBERTA	
5	BRITISH COLUMBIA	
6	KLONDIKE	

FIG. 4

# CITY NAME DICTIONARY (QUEBEC)

1	MONTREAL	~ 12
2	OTTAWA	
3	COBALT	
4	KINGSTON	
5	QUEBEC	
6	SOREL	

FIG. 5

# STREET NAME DICTIONARY (MONTREAL)

1	SAINT-PATRIQUE	~ 13
2	OTTAWA	
3	WILLIAM	
4	GUY	
5	SAINT-JACQUES	
6	NOTRE-DAME	
7	GRAINE	

FIG. 6

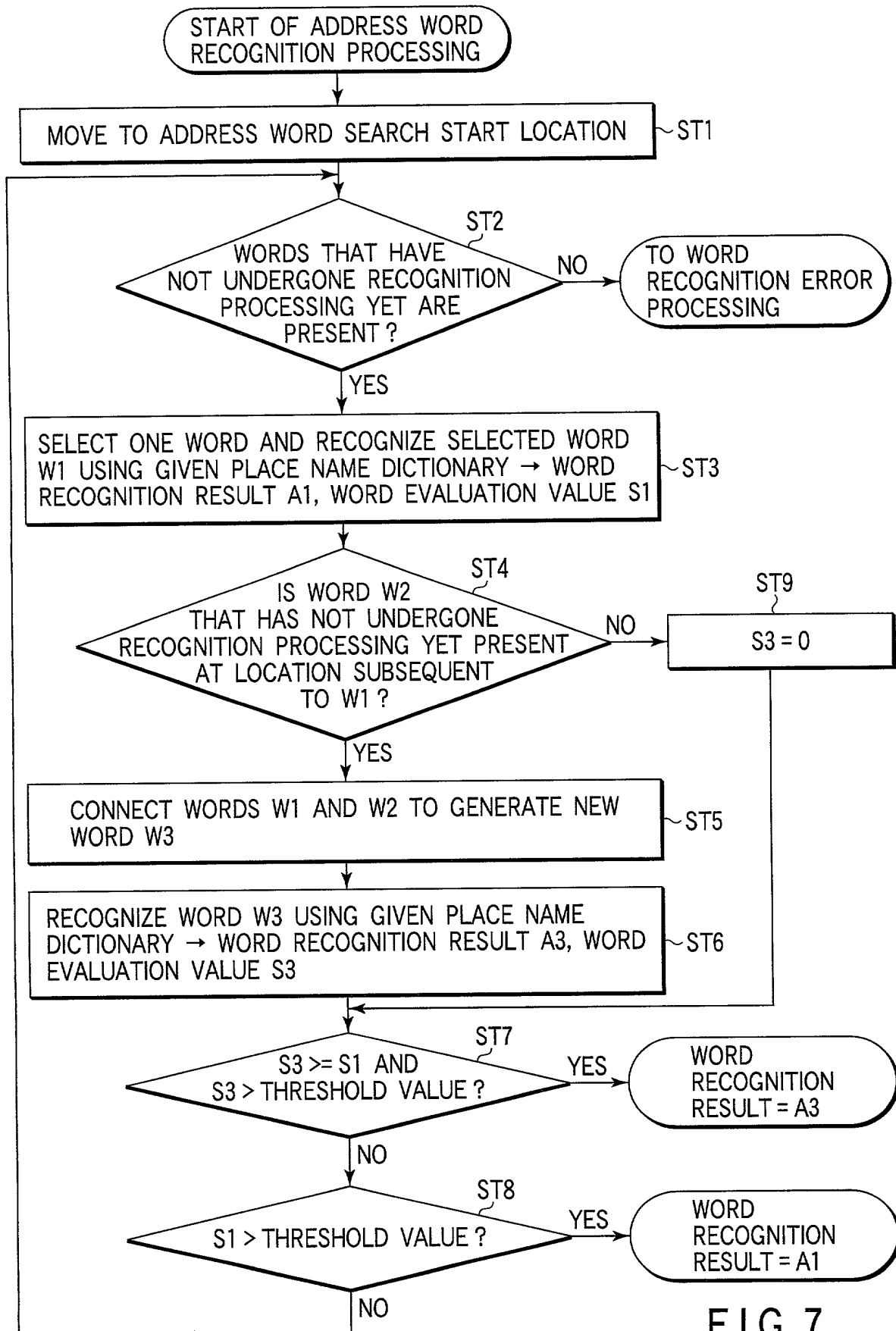


FIG. 7

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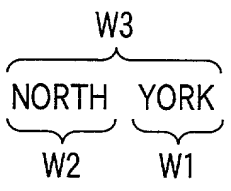


FIG. 8

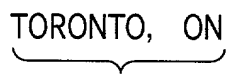
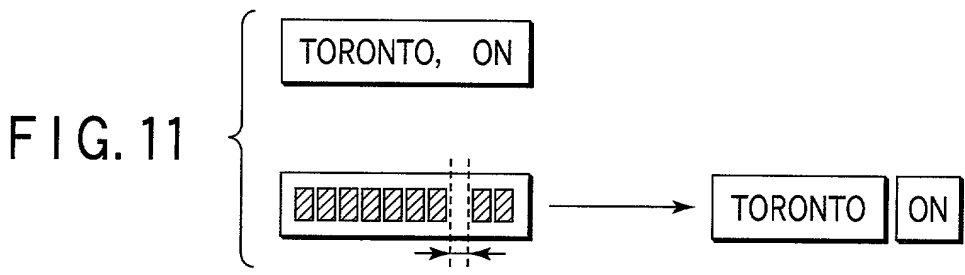


FIG. 9



CITY NAME	THE NUMBER OF STREETS IN CITY
A	10
B	1000
C	29
D	5

FIG. 12



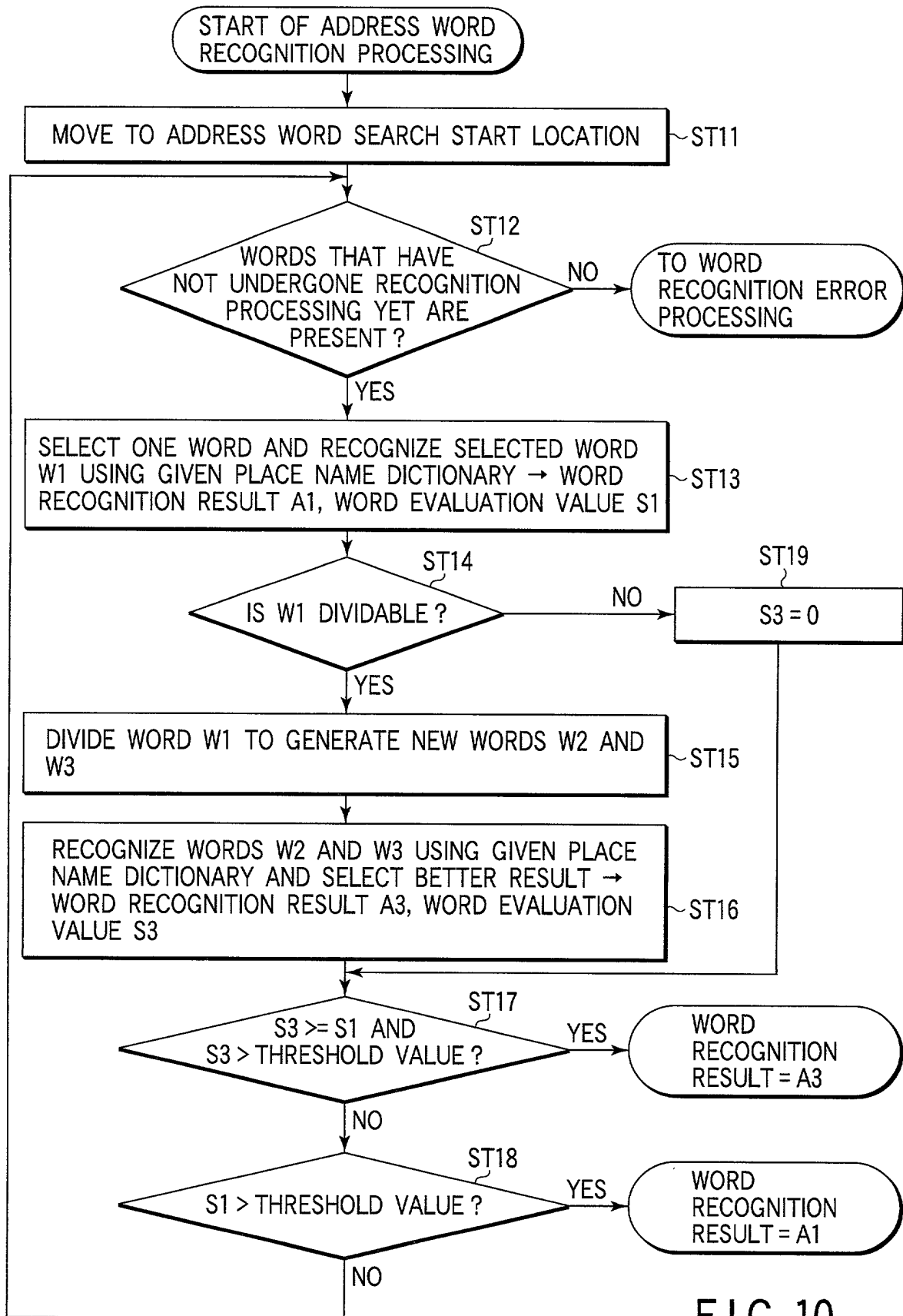


FIG. 10

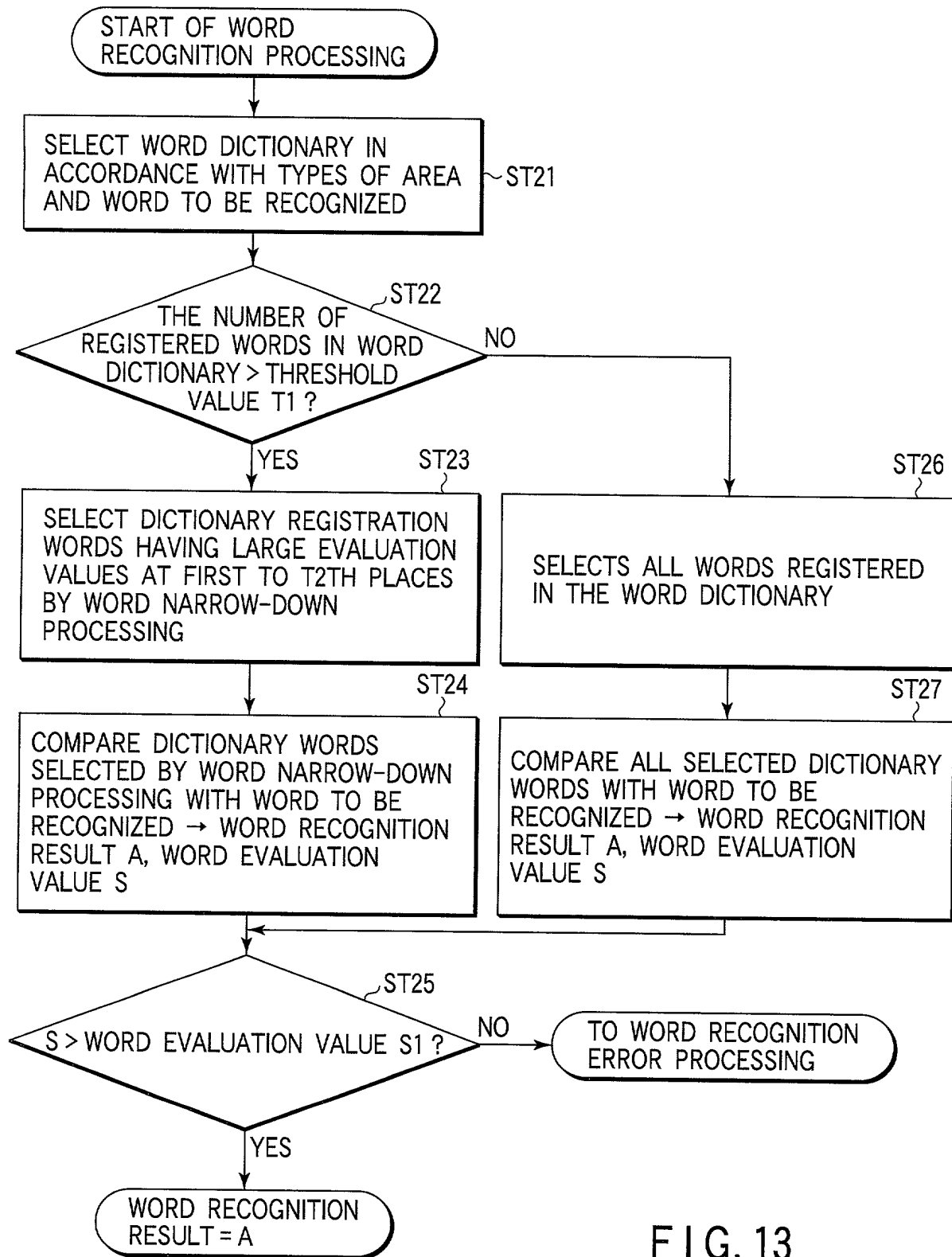


FIG. 13

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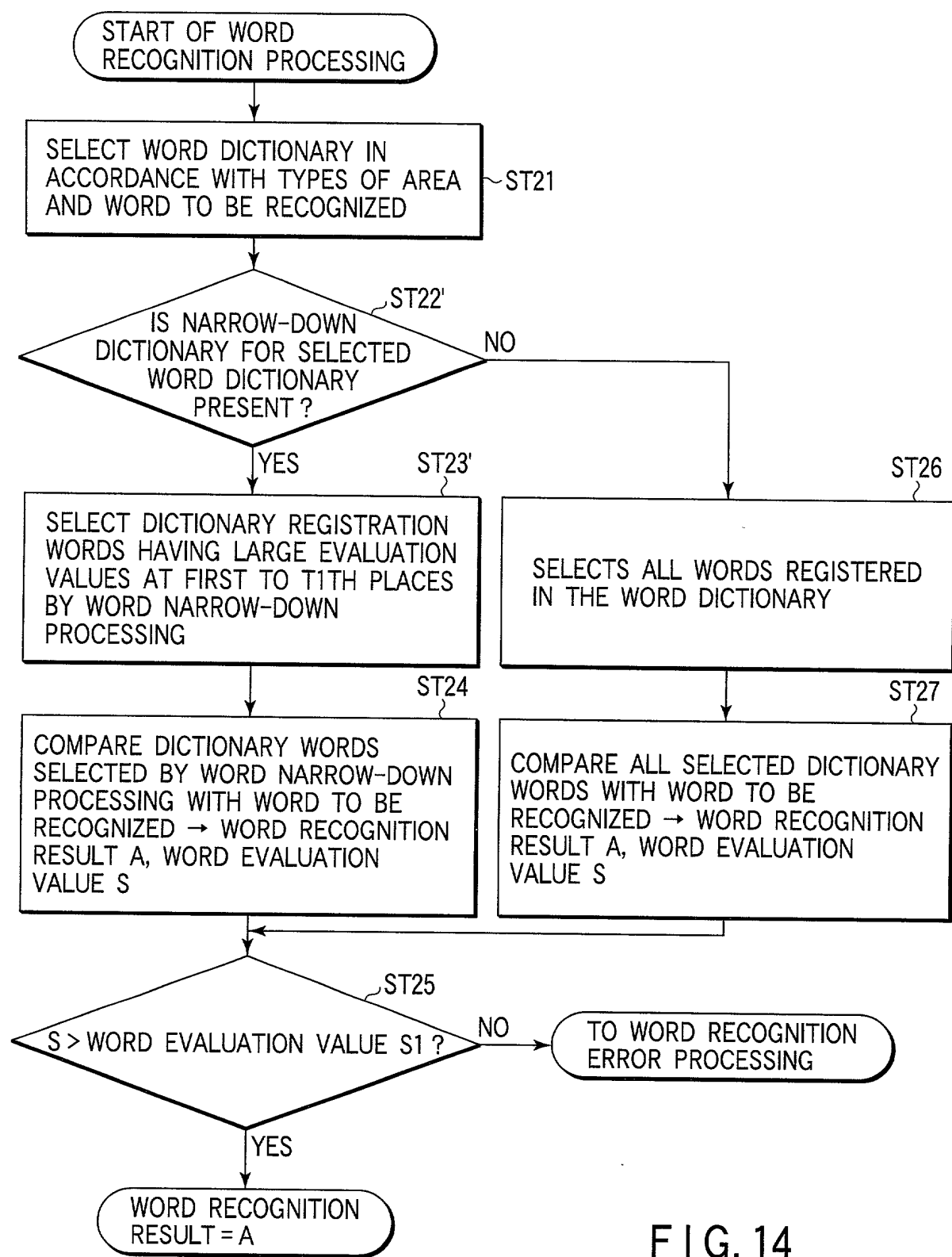


FIG. 14

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I declare:

that I verily believe myself to be the original, first and sole (if only one individual inventor is listed below) or an original, first and joint inventor (if more than one individual inventor is listed below) of the invention in

LOCATION INFORMATION RECOGNITION APPARATUS AND METHOD,  
AND RECORDING MEDIUM

the specification of which is attached hereto unless the following box is checked.

☐ was filed on \_\_\_\_\_ as United States Application  
or PCT International Application No. \_\_\_\_\_, and  
was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information of which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365 (b) of any foreign application(s) for patent or inventor's certificate, or 35 U.S.C. 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

<u>Country</u>	<u>Category</u>	<u>Application No.</u>	<u>Filing Date</u>	<u>Priority Claim</u>
Japan	Patent	11-318819	November 9, 1999	Yes

And I hereby appoint Paul N. Kokulis (Reg.No. 16,773), Raymond F. Lippitt (Reg.No. 17,519), G. Lloyd Knight (Reg.No. 17,698), Carl G. Love (Reg.No. 18,781), Edgar H. Martin (Reg.No. 20,534), William K. West, Jr. (Reg.No. 22,057), Kevin E. Joyce (Reg.No. 20,508), George M. Sirilla (Reg.No. 22,429), David W. Brinkman (Reg.No. 20,817), Donald J. Bird (Reg.No. 25,323), Peter W. Gowdey (Reg.No. 25,872), Dale S. Lazar (Reg.No. 28,872), Paul E. White, Jr. (Reg.No. 32,011), Glenn J. Perry (Reg.No. 28,458), Kendrew H. Colton (Reg.No. 30,368), Michelle N. Lester (Reg.No. 32,331), G. Paul Edgell (Reg.No. 24,238), Lynn E. Eccleston (Reg.No. 35,861), Timothy J. Klima (Reg.No. 34,852), David A. Jakopin (Reg.No. 32,995), Mark G. Paulson (Reg.No. 30,793), Stephen C. Glazier (Reg.No. 31,361), Paul F. McQuade (Reg.No. 31,542), Ruth N. Morduch (Reg.No. 31,044), Richard H. Zaitlen (Reg.No. 27,248), Roger R. Wise (Reg.No. 31,204), Jay M. Finkelstein (Reg.No. 21,082) and Anita M. Kirkpatrick (Reg.No. 32,617), each of whose address is 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, or any one of them, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent & Trademark Office connected therewith, and request that correspondence be directed to Pillsbury Madison & Sutro, LLP, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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## DECLARATION FOR PATENT APPLICATION

I declare further that my post office address is at c/o  
Intellectual Property Division, KABUSHIKI KAISHA TOSHIBA, 1-1 Shibaura  
1-chome, Minato-ku, Tokyo 105-8001, Japan; and  
that my citizenship and residence are as stated below next to my name:

Inventor: (Signature)

Date

Residence

Akihiko Nakao

Date: November 2, 2000

Citizen of: Japan

Kawasaki-shi, Japan

Akihiko Nakao

Date:

Citizen of: Japan

Date:

Citizen of: Japan

Date:

Citizen of: Japan

Date:

Citizen of: Japan

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Citizen of: Japan

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Citizen of: Japan

Date:

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